

## PREDICTING SUBCHLOROPLAST LOCATIONS OF PROTEINS BASED ON THE GENERAL FORM OF CHOU'S PSEUDO AMINO ACID COMPOSITION: APPROACHED FROM OPTIMAL TRIPEPTIDE COMPOSITION

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Chloroplasts are organelles found in plant cells that conduct photosynthesis. The subchloroplast locations of proteins are correlated with their functions. With the availability of a great number of protein data, it is highly desired to develop a computational method to predict the subchloroplast locations of chloroplast proteins. In this study, we proposed a novel method to predict subchloroplast locations of proteins using tripeptide compositions. It first used the binomial distribution to optimize the feature sets. Then the support vector machine was selected to perform the prediction of subchloroplast locations of proteins. The proposed method was tested on a reliable and rigorous dataset including 259 chloroplast proteins with sequence identity  $\leq 25\%$ . In the jack-knife cross-validation, 92.21% envelope proteins, 93.20% thylakoid membrane, 52.63% thylakoid lumen and 85.00% stroma can be correctly identified. The overall accuracy achieves 88.03% which is higher than that of other models. Based on this method, a predictor called ChloPred has been built and can be freely available

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from <http://cobi.uestc.edu.cn/people/hlin/tools/ChloPred/>. The predictor will provide important information for theoretical and experimental research of chloroplast proteins.

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## 1. Introduction

The chloroplast is one of key organelles in green plant cells. It houses the machinery necessary for photosynthesis, amino acid biosynthesis, pigment biosynthesis and so on [2]. The chloroplast is divided into four parts: stroma, thylakoid lumen, thylakoid membrane and envelope according to their structures and functions [2]. The proteins located in these four subchloroplast locations play different biological roles. The stroma is an internal space enclosed by the chloroplast double membrane but excluding the thylakoid. It contains one or more small circular DNA, some ribosomes and some temporary products of photosynthesis. The thylakoid membrane, an internal system of interconnected membranes, carries out the light reactions of photosynthesis. The thylakoid lumen is the chloroplast compartment bounded by the thylakoid membranes. The chloroplast envelope comprises the inner and outer chloroplast membrane.

For timely understanding protein functions and realizing the process of photosynthesis, it needs to accurately identify the subchloroplast location of chloroplast proteins. Unfortunately, it is both time-consuming and costly for experimental approach to confirm proteins location in chloroplast. Phylogenetic tree is a traditional method for most experimental scholars to predict the sub-subcellular locations of proteins. Although this method is not particularly expensive, it is more time consuming than machine learning approaches. Furthermore, for the sequences which do not have homologue sequences in benchmark data, phylogenetic tree will produce ineffective, inexact and even wrong information. In the past several years, lots of works have been proposed for protein subcellular localization prediction [4, 5, 10, 12–15, 32, 34–36, 38, 41, 43, 45, 57, 59, 64, 65, 69, 68, 70, 74, 79, 75, 81]. In parallel with these theoretical methods, large numbers of proteins have been sequenced and annotated which promote the developments of machine learning approaches to predict and annotate chloroplast proteins [20, 29, 58, 61]. For example, Emanuelsson *et al.* [21] have developed a predictor called ChloroP to predict chloroplast transit peptides and their cleavage sites. Tung *et al.* [61] proposed a Random Forest model to predict of protein subchloroplast locations. Recently, Du *et al.* [20] have used the pseudo-amino acid composition (PseAAC) to predict subchloroplast locations of proteins and developed a server, called SubChlo. Overall accuracy (OA) of jack-knife test is 67.18% for the dataset with the sequence identity of 60%. Based on the same benchmark data, Shi *et al.* [58] have improved the accuracy to 89.31% by using discrete wavelet transform to exact feature. However, many proteins with just about 40% sequence identity might be homologous to each other. It has been proved

that there is a close relationship between predictive accuracy and sequence identity [50, 72]. High similarity data can surely lead to overestimation of the performance of the methods considered.

The present study was dedicated to develop a new and more powerful predictor, called ChloPred, for predicting subchloroplast localization of proteins. According to a recent comprehensive review [9], to establish a really useful statistical predictor for a protein system, we need to consider the following procedures: (i) construct or select a valid benchmark dataset to train and test the predictor; (ii) formulate the protein samples with an effective mathematical expression that can truly reflect their intrinsic correlation with the attribute to be predicted; (iii) introduce or develop a powerful algorithm (or engine) to operate the prediction; (iv) properly perform cross-validation tests to objectively evaluate the anticipated accuracy of the predictor; (v) establish a user-friendly web-server for the predictor that is accessible to the public. Below, let us describe how to deal with these steps one-by-one.

## 2. Materials and Methods

### 2.1. Dataset

Both amino acid sequences and annotation information of chloroplast proteins were extracted from universal protein resource (Uniprot) [60]. To construct a reliable benchmark dataset, the following steps were used to prepare high quality datasets: (1) Although proteins with multiple subchloroplast locations have some special biological functions, we collected the proteins with only one subchloroplast location because the number of proteins with multiple subchloroplast locations is too small to have statistical significance. (2) Proteins with ambiguous protein existence annotations, such as “uncertain”, “predicted” and “inferred from homology” were excluded because they lack confidence. (3) Only those proteins with experimental confirmed subchloroplast location were included because they can provide correct and validated information. (4) The sequences which are fragment of other proteins were excluded because their information is redundant and not integral. (5) Sequences containing nonstandard letters, such as “B”, “X” or “Z”, were excluded because their meanings are ambiguous. (6) To avoid any homology bias, the proteins with  $> 25\%$  sequence identity to any other in the same subset were excluded using PISCES [63]. After strictly following the above procedures, we finally obtained 259 proteins including 60 stroma proteins, 19 thylakoid lumen proteins, 103 thylakoid membrane proteins and 77 envelope proteins.

### 2.2. Tripeptide compositions

It is one of the most important parts for pattern recognition to generate a set of informative parameters. To avoid losing many important information hidden in protein sequences, the PseAAC was proposed to replace the simple amino acid composition (AAC) for representing the sample of a protein [6, 7].

For a brief introduction about Chou's PseAAC, visit the Wikipedia web-page at [http://en.wikipedia.org/wiki/Pseudo\\_amino\\_acid\\_composition](http://en.wikipedia.org/wiki/Pseudo_amino_acid_composition). For a summary about its recent development and applications, see a comprehensive review [8]. Ever since the concept of PseAAC was proposed by Chou [6] in 2001, it has rapidly penetrated into almost all the fields of protein attribute prediction, such as predicting protein structural classes [37, 56], predicting protein quaternary structure [76], identifying bacterial virulent proteins [52], identifying cell wall lytic enzymes [18], identifying risk type of human papillomaviruses [22], identifying DNA-binding proteins [24], predicting homo-oligomeric proteins [55], predicting protein secondary structure content [3], predicting supersecondary structure [83], predicting enzyme family and sub-family classes [54, 66, 82], predicting protein subcellular location [35, 36, 80], predicting subcellular localization of apoptosis proteins [32, 35, 44, 19], predicting protein subnuclear location [33], predicting protein submitochondria locations [75, 51], predicting G-Protein-Coupled Receptor Classes [27, 53], predicting protein folding rates [28], predicting outer membrane proteins [39], predicting cyclin proteins [48], predicting GABA(A) receptor proteins [49], identifying bacterial secreted proteins [73], identifying the cofactors of oxidoreductases [77], identifying lipase types [78], identifying protease family [30], predicting Golgi protein types [17], classifying amino acids [26], among many others.

Recently, Anishetty *et al.* [1] demonstrated that the tripeptide may be used to predict plausible structures for oligopeptides and denovo protein design. Tripeptide motifs represent potentially important starting points for design of small molecule biological modulators [62]. Thus, tripeptide composition was employed to encode chloroplast protein sequences in this study. Actually, like dipeptide composition [40, 42], tripeptide composition, tetrapeptide composition, pentapeptide composition *et al.* are just different modes of Chou's PseAAC. According to the general form of Chou's (PseAAC) (see [8, Eq. 6]), the general form of Chou's PseAAC can be formulated as

$$P = [\psi_1, \psi_2, \dots, \psi_i, \dots, \psi_\Omega]^T, \quad (2.1)$$

where  $T$  is a transpose operator, while the subscript  $\Omega$  is an integer and its value as well as the components  $\psi_1, \psi_2, \dots$  will depend on how to extract the desired information from the amino acid sequence of  $P$ . Based on the above general equation, for the general tripeptide composition, a chloroplast protein with length of  $L$  can be characterized as an  $\Omega = 20 \times 20 \times 20 = 8000$  dimension feature vector and described as follows:

$$F_{8000} = [f_1, f_2, \dots, f_i, \dots, f_{8000}]^T, \quad (2.2)$$

here symbol  $T$  denotes the transposition of vector.  $f_i$  is the frequency of the  $i$ th-tripeptide and expressed as:

$$f_i = n_i / \sum_{i=1}^{8000} n_i = n_i / (L - 2), \quad (2.3)$$

here  $n_i$  and  $L$  denote the number of the  $i$ th-tripeptide and length of the protein, respectively.

### 2.3. Feature selection

In machine learning problems, to avoid the high-dimensional problems such as “dimension disaster”, overfitting or redundancy [67], dimensionality reduction is an important technique for removing irrelevant features (or redundant features) and building robust models. Some algorithms such as principal component analysis [46], minimal-redundancy-maximal-relevance (mRMR) [31], diffusion Maps [71] and the analysis of variance (ANOVA) [40] have been proposed for reducing the dimensionality. This study will introduce a new algorithm based on binomial distribution to optimize the feature sets [25]. Eight thousands kinds of tripeptides may occur in four classes of chloroplast protein dataset. Each kind of tripeptide occurring in one type may be a stochastic event. Then, the probability of the  $i$ th-tripeptide occurring in the  $j$ th-class ( $j = \text{stroma, thylakoid lumen, thylakoid membrane and envelope}$ ) can be defined by:

$$CL_{ij} = 1 - \sum_{n=n_{ij}}^{N_i} \frac{N_i!}{n!(N_i - n)!} p_j^n (1 - p_j)^{N_i - n}, \quad (2.4)$$

here probability  $CL_{ij}$  is also called the confidence level (CL) of  $i$ th-tripeptide in  $j$ th-class.  $N_i$  denotes the total number of  $i$ th-tripeptide in the dataset.  $n_{ij}$  denotes the occurrence number of  $i$ th-tripeptide in  $j$ th-class. The sum is taken from  $n_{ij}$  to  $N_i$ . The probability  $p_j$  is the relative frequency of class  $j$  in the dataset and defined as:

$$p_j = \frac{\sum_{i=1}^{8000} n_{ij}}{\sum_{i=1}^{8000} N_i}, \quad (2.5)$$

here  $\sum_{i=1}^{8000} N_i$  and  $\sum_{i=1}^{8000} n_{ij}$  are the total occurrence number of all tripeptides in the dataset and in  $j$ th-class proteins, respectively.

If there are  $\Omega$  tripeptides whose  $CL_{ij}$  is larger than a given cutoff  $CL_o$ , the frequencies of these tripeptides are selected as optimized features expressed as:

$$F_{\Omega} = [f_1, f_2, \dots, f_i, \dots, f_{\Omega}]^T. \quad (2.6)$$

If  $CL_o$  is set to zero, 8000 tripeptides are all selected. If  $CL_o > 1$ , no tripeptides are selected. For different cutoff threshold of  $CL_o$ , the value of  $\Omega$  will be different. Based on CL (Eq. (2.3)), high-dimensional data can be projected into low-dimensional space. The final  $\Omega$  will be determined by cross-validation.

### 2.4. Support vector machine

Support vector machine (SVM) is a wonderful and popular machine learning method based on statistical learning theory. Because of its easy-to-use and good

performance, SVM has been widely applied in protein bioinformatics. For multi-class problems, several strategies such as one-versus-rest (OVR) and one-versus-one (OVO) can be used to extend the traditional SVM. This paper adopts OVO strategy for multi-class classification. The software toolbox used to implement SVM is Libsvm written by Lin's lab and can be freely downloaded from: <http://www.csie.ntu.edu.tw/~cjlin/libsvm> [23]. Usually, four kinds of kernel functions, i.e. linear function, polynomial function, sigmoid function and radial basis function (RBF), are applied to perform predictions. Empirical studies have demonstrated that the RBF outperforms the other three kinds of kernel functions. Hence, we used the RBF to perform the prediction. The grid search program was applied to optimize the regularization parameter  $C$  and kernel parameter  $\gamma$  using five-fold cross-validation.

## 2.5. Performance evaluation

In statistical prediction, the following three cross-validation methods are often used to examine a predictor for its effectiveness in practical application: independent dataset test, sub-sampling test and jack-knife test [16]. However, of the three test methods, the jack-knife test is deemed the least arbitrary and objective as elucidated in [9] and demonstrated by Eqs. (28)–(31) therein. Accordingly, the jack-knife test has been widely and increasingly used to examine the power of various statistical predictors [75, 41, 43, 47, 35, 18, 22, 3, 54, 82, 27, 48]. Thus the jack-knife cross-validation was used to evaluate the performance of the proposed model. Two important evaluating parameters: sensitivity (Sn) and OA were calculated as the following formulas:

$$\text{Sn}_i = TP_i/N_i, \quad (2.7)$$

$$\text{OA} = \sum_i TP_i/N, \quad (2.8)$$

here  $TP_i$  and  $N_i$  are the numbers of correctly predicted proteins and total number of the  $i$ th-class, respectively.  $N$  is the total number of four classes of proteins in the dataset.

## 3. Results and Discussion

### 3.1. Prediction accuracy

The specific tripeptides can be selected by using Eq. (2.4). In our statistics, only tripeptides with  $N_i \geq 3$  are considered, because occurrence of a tripeptide with  $N_i < 3$  in chloroplast proteins is an event of small probability ( $p < 0.0001$ ). Therefore, we selected the tripeptides with different confidence levels under the constraint  $N_i \geq 3$ . There are 6723 tripeptides with  $N_i \geq 3$  in the benchmark dataset.

In general, the tripeptide sets with high CL give more reliable information for classification. However, the number of these words is too small to afford

enough information, which deduces the poor predictive accuracy. For example, using  $> 99.9\%$  as CL, we can achieve 28 tripeptides. But the OA is only 52.51% in five-fold cross-validation. In contrast, the tripeptide sets with low confidence contains too many components. But it would reduce the cluster-tolerant capacity so as to lower down the cross-validation accuracy. For instance, 6510 tripeptides with  $> 50\%$  of CL can only produce the OA of 53.28% in five cross-validation. Therefore, using appropriate tripeptide sets would yield a prediction with higher accuracy. By changing the cutoff of CL, we can obtain a series of tripeptide sets. For economizing time and improving efficiency, we first used five-fold cross-validation to optimize the regularization parameter  $C$  and kernel parameter  $\gamma$ . The three dimension graph for feature dimension, CL and OA is shown in Fig. 1. It exhibits that the five-cross-validated accuracy increases to 87.26% when using  $> 97.04\%$  as CL. The optimized tripeptide set contains 571 dimension feature vector. The regularization parameter  $C$  and kernel parameter  $\gamma$  are 512 and 0.0078125, respectively. The numbers of tripeptide with this CL are 122, 167, 105 and 178, respectively for envelope, thylakoid membrane, thylakoid lumen and stroma.

Furthermore, we examined the jack-knife-cross-validated accuracy using 571 dimension features. Results are recorded in Table 1. As it can be seen from Table 1, 92% (71/77) envelope, 93% (96/103) thylakoid membrane, 53% (10/19) thylakoid lumen and 85% (51/60) stroma proteins can be correctly predicted. OA achieves 88.03%. It should be noticed that the accuracy of thylakoid lumen is dramatically lower than that of another three classes. The reason is that the benchmark data is unbalance and fewer features (105) are selected from thylakoid lumen proteins.

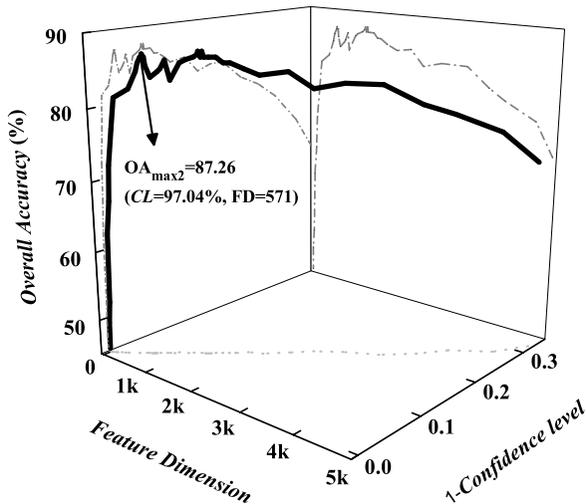


Fig. 1. (Color online) The graph for predicting subchloroplast locations of proteins. Dark line denotes 3D curve. Three gray lines are projections on three planes (OA/feature dimension plane, OA/confidence level plane, confidence level/feature dimension plane).

Table 1. The comparison of performance of proposed model and other models.

	Accuracy (%)				Overall
	Envelope	Thylakoid membrane	Thylakoid lumen	Stroma	
SVM (571 tripeptides)	92.21	93.20	52.63	85.00	88.03
SVM (400 dipeptides)	55.84	78.64	0	35.00	55.98
SVM (20 AAs)	45.45	88.35	0	25.00	53.28
SVM (20 AAs + 400 dipeptides)	57.14	70.87	0	48.33	56.37
SVM (PseAAC)	66.23	75.73	10.53	43.33	60.62
Naïve Bayes (576 tripeptides)	85.71	70.87	0	55.00	66.41
Naïve Bayes (PseAAC)	38.96	49.51	26.32	60.00	47.10
RBF Network (560 tripeptides)	80.52	54.37	52.63	81.67	68.34
RBF Network (PseAAC)	59.74	67.96	10.53	36.67	54.05

With the rapid expansion of the chloroplast protein dataset, more tripeptides with a higher CL will be obtainable, making the prediction more accurate.

### 3.2. Comparison accuracies

It is necessary to investigate whether the proposed method has a better performance than other existing approaches. Du *et al.* [20] have constructed a dataset (*S60*) containing 262 proteins with identity of 60% and predicted them using PseAAC. The accuracy is only 67%. Shi *et al.* [58] achieved an accuracy of 86% using the same benchmark dataset. Nevertheless, we cannot provide direct comparison with these works because the location annotation of some proteins in the dataset *S60* have been changed with the update of Uniprot. We are only able to give a rough comparison between our method and the two methods. The benchmark dataset in our study has the same scale as *S60*, but the sequence identity of our study is much lower than that of *S60*. That is to say our dataset is more rigorous and objective. Moreover, on this dataset, we achieved 88% accuracy which is better than that of other methods in the literatures [20, 58].

Furthermore, we compared the accuracy of the proposed method with that of other methods using our dataset. First, we compared the performance of optimized tripeptides with other parameters, such as: dipeptides, amino acid and PseAAC. As it can be seen from Table 1, optimized tripeptides achieve the best results among all parameters. Second, we compared the performance of SVM algorithm with Naïve Bayes and RBF Network using tripeptides. We repeated the process of feature selection for finding highest accuracies of Naïve Bayes and RBF Network. Results in Table 1 show that the highest accuracies are 66.41 and 68.34% for Naïve Bayes and RBF Network, respectively. The optimized feature sets for the two methods contain 576 and 560 vectors, respectively. Table 1 also records the results of Naïve Bayes and RBF Network using PseAAC as parameters. It is obvious that the OA of our method is the best one among all listed methods. This result indicates that our method can be used for the prediction of subchloroplast protein location.

## 4. Conclusion

In this study, we developed a SVM-based method to predict the subchloroplast locations of chloroplast proteins using primary sequence information. A novel feature selection technique based on binomial distribution is proposed to optimize the feature set. Results in Table 1 show that the proposed method achieves an OA of 88.03% in the jack-knife test on a very rigorous and objective dataset, which demonstrates the capability of binomial distribution technique in the process of feature selection. Since user-friendly and publicly accessible web-servers represent the future direction for developing practically more useful models, simulated methods, or predictors [11], a web-server for the method presented in this paper is constructed and can be freely available from <http://cobi.uestc.edu.cn/people/hlin/tools/ChloPred/>.

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